

**Report Number: QIP-ASI1631206**

**Audit Date :** 06 Dec.,2016

This report is issued by Focus Technology Co., Ltd. (Made-in-China.com) and the supervising inspectorate (SGS-CSTC Standards Technical Services Co., Ltd.) to confirm that:

**Company Name :** Lishui Yingte Bearing Co., Ltd.  
 丽水市英特轴承有限公司

**Showroom :** <http://txpbearing.en.made-in-china.com>

**Address :** No. 127, Qibaiyang Street, Lishui Economic Development Zone, Lishui City, Zhejiang Province, China

**Product :** Ball Screw, Linear Guide, Linear Shaft, Linear Bearing, Ball Screw Support, Coupling, Rod End Bearing, Sliding Unit

has been on site audited for the Following Scope of Activity

1. General Information
2. Foreign Trade Capacity
3. Product Research & Development Capacity
4. Production Capacity & Quality Control
- the audit content of this part relates only to its associated enterprise
5. Working Environment
6. Energy Saving and Emission Reduction
7. Photos



**General Comments:**

Lishui Yingte Bearing Co., Ltd. is a manufacturer with 57 employees; it was established in 2007, located in No. 127, Qibaiyang Street, Lishui Economic Development Zone, Lishui City, Zhejiang Province, China. The company occupies an area of more than 2,800 square meters (building size). The company has successful foreign trading experience mainly in Mideast and East Asia.



Sign for and on behalf of  
 SGS-CSTC Standards Technical Services Co., Ltd.



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# SUPPLIER ASSESSMENT REPORT

|                         |  |               |  |
|-------------------------|--|---------------|--|
| Audited Company         | Lishui Yingte Bearing Co., Ltd.  |               |  |
| Audited Site:           | No. 127, Qibaiyang Street, Lishui Economic Development Zone, Lishui City, Zhejiang Province, China |               |  |
| Consigner of Assessment | Made-in-China.com  |               |  |
| Audit Type              | <input checked="" type="checkbox"/> Initial Audit<br><input type="checkbox"/> Re-audit             |               |  |
| Audit Date              | 06 Dec.,2016   | Verify Report | <a href="http://www.sgs.com/ecv">www.sgs.com/ecv</a> |
| Auditor                 | Patrick Chen   | Reviewed by   | Simon Wang   |

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## Part A: General Information

### Section 1: Company Overview

| 1.1 Legal Validity  |   |                     |                    |
|---|---|---------------------|--------------------|
| Does the company have a valid business license?   | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Others   | Registration Number | 91331100665183251Y |
| Year of established   | 03 Aug.,2007  | Valid Date          | 02 Aug.,2017       |
| Registered address  | No. 127, Qibaiyang Street, Lishui Economic Development Zone, Liandu District, Lishui City, Zhejiang Province, China   |                     |                    |
| Actual address  | No. 127, Qibaiyang Street, Lishui Economic Development Zone, Lishui City, Zhejiang Province, China  |                     |                    |
| Does the company in abnormal operation status list of industrial and commercial bureau?   | No  |                     |                    |
| Registered capital  | RMB 150,000   |                     |                    |
| Name of legal representative  | Mr. Zongjie Shen  |                     |                    |
| Business scope  | Processing of bearing.<br>Import and export of commodities and technologies allowed by state.<br>Operating after the examination and approval required by state laws and regulations, prohibited by laws and regulations should not be operated.<br>(Above business scopes exclude the forbidden, restricted and permitted items by laws and regulations.)  |                     |                    |
| 1.2 Basic Information   |   |                     |                    |
| Contact person  | Mr. Ives Lan  |                     |                    |
| Phone number  | 0086-578-2630519  | Fax number          | 0086-578-2630511   |
| URL/Web address   | <a href="http://txpbearing.en.made-in-china.com">http://txpbearing.en.made-in-china.com</a>   |                     |                    |
| Company type  | <input type="checkbox"/> Manufacturer <input type="checkbox"/> Trading Company<br><input checked="" type="checkbox"/> Combined <input type="checkbox"/> Group Corporation   |                     |                    |
| Type of ownership   | <input checked="" type="checkbox"/> Limited Company <input type="checkbox"/> Public Company <input type="checkbox"/> Foreign joint venture<br><input type="checkbox"/> State-Owned <input type="checkbox"/> Private Owner <input type="checkbox"/> Wholly foreign-owned enterprises   |                     |                    |
| Associated company  | <ul style="list-style-type: none"> <li>■ Audited company : <b>Lishui Yingte Bearing Co., Ltd.</b></li> <li>■ Associated company: <b>Lishui Jiexiang Technology Co., Ltd.</b></li> <li>■ The relationship of two companies:                         <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> The two companies have the same shareholders</li> <li><input checked="" type="checkbox"/> Shareholders have 100% shares in audited company and 32.66% shares in associated company</li> </ul> </li> </ul> |                     |                    |
| Products manufactured / sold scope  | Ball Screw, Linear Guide, Linear Shaft, Linear Bearing, Ball Screw Support, Coupling, Rod End Bearing, Sliding Unit   |                     |                    |
| 1.3 Company Building Information  |   |                     |                    |
| According to:   |   |                     |                    |
| <input type="checkbox"/> Land certificate <input type="checkbox"/> Real estate certificate<br><input checked="" type="checkbox"/> Lease agreement <input checked="" type="checkbox"/> Observation Estimated on site |   |                     |                    |
| The company area 2,800 square meters<br>The land occupies 2,000 square meters.<br>The offices occupy 300 square meters.<br>The workshops occupy 2,500 square meters.  |   |                     |                    |



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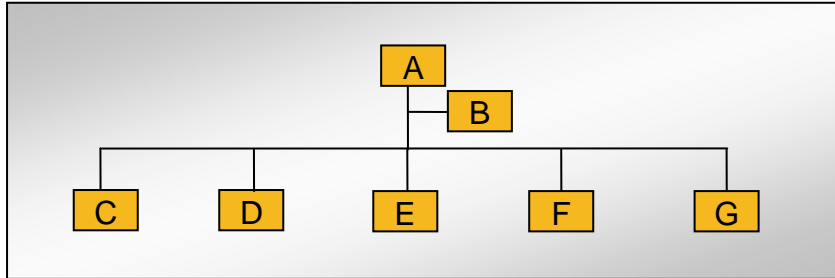
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## Section 2: Human Resources

### 2.1 Company Chart



### 2.2 Explanation of Code and Employee Details

| Code                    | Department            | Number of employees |
|-------------------------|-----------------------|---------------------|
| A                       | General Manager       | 1                   |
| B                       | Vice General Manager  | 1                   |
| C                       | Sales Dept.           | 9                   |
| D                       | Financial Dept.       | 3                   |
| E                       | Quality Control Dept. | 2                   |
| F                       | Production Dept.      | 39                  |
| G                       | Technology Dept.      | 2                   |
| <b>Number in total:</b> |                       | <b>57</b>           |

### 2.3 Key Staff

| Title           | Full name        | Education | Working experience for this trade / total experience |
|-----------------|------------------|-----------|--|
| General Manager | Mr. Zongjie Shen | College   | 9 / 25 years   |

Remark: Above information of key staff was based on interview with company representative.



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## Part B: Foreign Trade Capacity

### Section 1: Export Overall Situation

| 1.1 Export Overall Situation  |  |
|---|--|
| Does the company have a valid Import and Export license?              | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  |
| The import and export enterprise code.                                | 91331100665183251Y   |
| The number of foreign trading staff with relevant trading experience. | <input type="checkbox"/> within 1 year staff <input checked="" type="checkbox"/> 1-5 years 2 staffs<br><input checked="" type="checkbox"/> 6-10 years 2 staffs <input type="checkbox"/> over 10 years staff<br>Total 4 staffs                  |
| The language freely used by foreign trade staff                       | <input checked="" type="checkbox"/> English <input type="checkbox"/> others:   |
| Annual revenue of previous year                                       | 23,184,675.06 RMB  |
| Annual export revenue of previous year                                | 9,569,778.08 RMB   |
| Estimated export revenue for this year.                               | 30,000,000 RMB   |
| Overseas agent / branch   | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  |
| Nearest port  | Ningbo Port, Shanghai Port   |
| Acceptable quotation terms  | <input checked="" type="checkbox"/> FOB <input checked="" type="checkbox"/> CIF <input checked="" type="checkbox"/> CFR Other: EXW   |
| Acceptable payment terms  | <input checked="" type="checkbox"/> LC <input checked="" type="checkbox"/> T/T <input type="checkbox"/> D/P <input type="checkbox"/> PayPal<br><input checked="" type="checkbox"/> Western Union <input type="checkbox"/> Small-amount payment |
| Average lead time (Peak Season)                                       | <input type="checkbox"/> within 15 workday <input checked="" type="checkbox"/> one month <input type="checkbox"/> 2-3 months<br><input type="checkbox"/> 4-6 months <input type="checkbox"/> 6-12 months <input type="checkbox"/> more time    |
| Average lead time (Off Season)  | <input checked="" type="checkbox"/> within 15 workday <input type="checkbox"/> one month <input type="checkbox"/> 2-3 months<br><input type="checkbox"/> 4-6 months <input type="checkbox"/> 6-12 months <input type="checkbox"/> more time    |

### Section 2: Export Business Capacity

| 2.1 Market Distribution (please list top three areas)              |   |              |
|--|---|--------------|
| Market   | Main Product  | Main client  |
| <input type="checkbox"/> North America                             |   |              |
| <input type="checkbox"/> South America                             |   |              |
| <input type="checkbox"/> Europe                                    |   |              |
| <input checked="" type="checkbox"/> Southeast Asia/ Mideast        | Ball Screw, Linear Guide, Linear Shaft, Linear Bearing, Ball Screw Support, Coupling, Rod End Bearing, Sliding Unit | Confidential |
| <input type="checkbox"/> Africa                                    |   |              |
| <input checked="" type="checkbox"/> East Asia (Japan/ South Korea) | Ball Screw, Linear Guide, Linear Shaft, Linear Bearing, Ball Screw Support, Coupling, Rod End Bearing, Sliding Unit | Confidential |
| <input type="checkbox"/> Australia                                 |   |              |
| <input type="checkbox"/> Domestic                                  |   |              |
| <input type="checkbox"/> Others                                    |   |              |



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### Section 3: Supplier Management

| 3.1 Supplier Management |  |   |
|-------------------------|--|---|
| Item                    | Content  | Observations /Comments  |
| 1                       | Does the company establish and implement an effective suppliers' assessment procedure? | <input checked="" type="checkbox"/> Have the written procedures and followed records<br><input type="checkbox"/> Have the written procedures but no records<br><input type="checkbox"/> Have relevant records without written procedure<br><input type="checkbox"/> No written procedures or followed records<br><input type="checkbox"/> Other |
| 2                       | Does the company have an updated list of approved suppliers?                           | <input type="checkbox"/> The approved suppliers list was updated in _____<br><input type="checkbox"/> Have the written suppliers without approved signature or date.<br><input checked="" type="checkbox"/> Provided some suppliers names<br><input type="checkbox"/> No approved suppliers list<br><input type="checkbox"/> Other              |

### Section 4: After-sales Service Capacity

| 4.1 After-sales Service Capacity |  |  |
|----------------------------------|--|--|
| Item                             | Content  | Observations /Comments   |
| 1                                | Is there a procedure to conduct random product inspection after final packaging in place?  | <input type="checkbox"/> Have clear standards and written inspection records<br><input checked="" type="checkbox"/> No written standards but had inspection reports<br><input type="checkbox"/> Have the procedures but no inspection records<br><input type="checkbox"/> It's not necessary to carry out the inspection<br><input type="checkbox"/> Other |
| 2                                | Is there a clear procedure for handling customer complaints?   | <input type="checkbox"/> Has the clear procedure and followed records<br><input type="checkbox"/> Has the procedure but no written records.<br><input type="checkbox"/> No written procedures or records.<br><input checked="" type="checkbox"/> Other Has the records but no written procedures.  |
| 3                                | Can the finished/packaged product be traced by lot identification to the appropriate raw materials test reports?   | <input type="checkbox"/> Have the procedures to trace the raw materials.<br><input type="checkbox"/> Can trace main materials<br><input checked="" type="checkbox"/> Can trace production date.<br><input type="checkbox"/> Can't trace products<br><input type="checkbox"/> Other   |
| 4                                | Are corrective & preventive actions mechanism established and implemented effectively (including the suppliers/ sub-contractors control, incoming inspection, process control, final inspection and customer complaint)? | <input type="checkbox"/> Has the clear procedure and followed records<br><input type="checkbox"/> Has the procedure but no written records.<br><input type="checkbox"/> No written procedures or records.<br><input checked="" type="checkbox"/> Other Has the records but no written procedures.  |



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Consumer and Hazardous Waste Technical Services

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## Part C: Product Research & Development Capacity

| 1.1 Product Research & Development Capacity  |  |
|--|--|
| The amount of R&D and relevant working experience.   | <input type="checkbox"/> within 1 year staff <input type="checkbox"/> 1-5 years staff<br><input checked="" type="checkbox"/> 6-10 years 2 staffs <input type="checkbox"/> over 10 years staff<br>Total 2 engineers |
| What is the main job responsibility for R&D engineers?   | They take responsibilities of R&D, product improvement and supported production scene.   |
| Is there any relevant design input, output, review, verification and validation documentation available for auditor to review?                                     | YES. Refer to the related documents provided by the company; auditor noted there were the relevant documents and records of assembly drawings, part drawings, machining drawings, etc.                             |
| Is there any special software or instrument used by the R&D staffs during the design process of new products? If yes, please list the main software or instrument. | YES. Software: such as Pro-e, AutoCAD, UG and SolidWorks.  |
| Does the company have an effective design change control procedure in place?   | YES. Refer to the related documents; auditor noted the company had an effective design change control procedure.   |
| Please list the patent certificates and qualification license.   | Nil.   |



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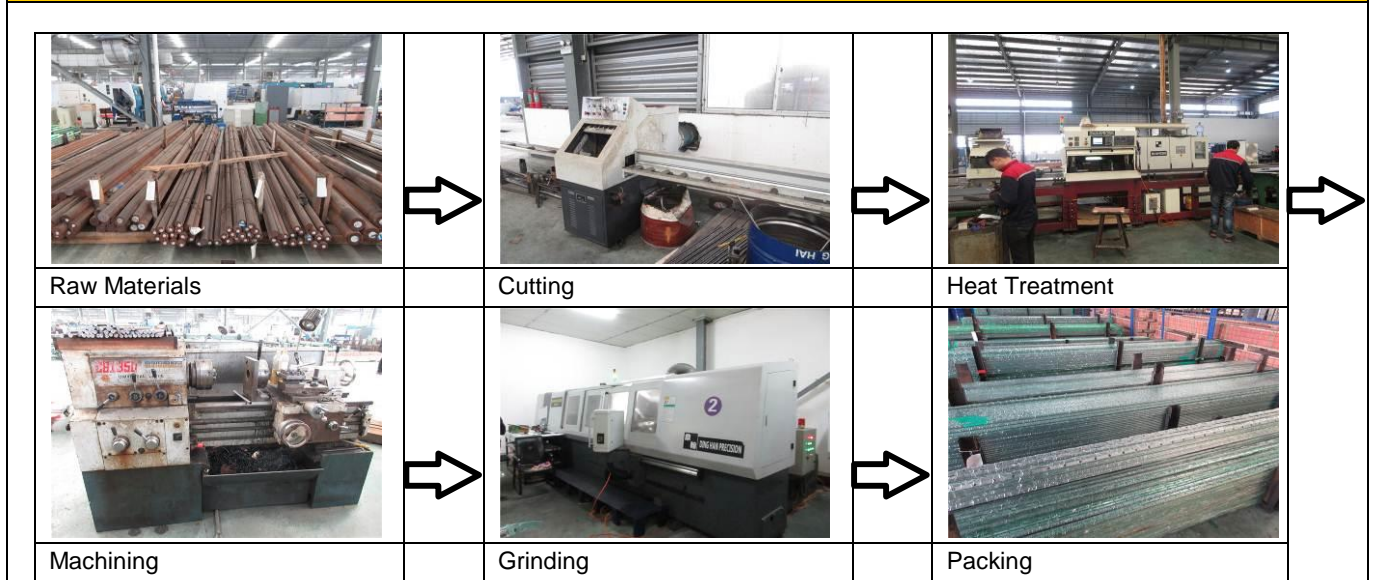
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## Part E: Production Capacity & Quality Control

Remark: The audit content of this part relates only to its associated enterprise (Lishui Jiexiang Technology Co., Ltd.)

### Section 1: Production Capacity

#### 1.1 Production Workflow Chart



#### 1.2 Products Situation (Top three Product Categories)

| Product          | Price range  | Min. order quantity | Top monthly output | Average monthly output | Total in 2015 |
|------------------|--------------|---------------------|--------------------|------------------------|---------------|
| <br>Linear Guide | Confidential | Confidential        | Confidential       | Confidential           | Confidential  |
| <br>Ball Screw   | Confidential | Confidential        | Confidential       | Confidential           | Confidential  |



| 1.3 Main Facilities |                              |                                   |                          |          |
|---------------------|------------------------------|-----------------------------------|--------------------------|----------|
| Picture             | Facility name                | Brand or Country/Region of origin | Target Value/machine*day | Quantity |
|                     | Cutting Machine              | China                             | Confidential             | 4        |
|                     | Rolled Machine               | Taiwan                            | Confidential             | 6        |
|                     | Grinding Machine             | Taiwan                            | Confidential             | 12       |
|                     | Alignment Machine            | China                             | Confidential             | 3        |
|                     | Drilling Machine             | China                             | Confidential             | 2        |
|                     | Grinding Machine             | Taiwan                            | Confidential             | 8        |
|                     | CNC Machining Center         | China                             | Confidential             | 32       |
|                     | Image Measurement Instrument | China                             | Confidential             | 2        |
|                     | Hardness Tester              | China                             | Confidential             | 3        |



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## Section 2: Production Process Control

| 2.1 Production Process Control |   |   |
|--------------------------------|---|---|
| Item                           | Content   | Observations /Comments  |
| 1                              | Product R&D capacity  | <input checked="" type="checkbox"/> Own brand<br><input checked="" type="checkbox"/> ODM<br><input checked="" type="checkbox"/> OEM   |
| 2                              | Are the environmental conditions, such as tidiness and cleanliness being controlled and suitable for the operation performed? | <input type="checkbox"/> Very tidy<br><input checked="" type="checkbox"/> Normal<br><input type="checkbox"/> Need to improve<br><input type="checkbox"/> Very poor  |
| 3                              | Are the necessary items /documents provided at appropriate location and under control?  | <input checked="" type="checkbox"/> Work Instructions /procedures<br><input type="checkbox"/> Workmanship standard /acceptance<br><input type="checkbox"/> Golden sample /Approval sample<br><input type="checkbox"/> Product picture<br><input type="checkbox"/> Verbal by workshop director   |
| 4                              | Are written instructions available for incoming material inspections /testing?<br>Is the relevant record maintained?          | <input checked="" type="checkbox"/> Has instructions and uniformly followed<br><input type="checkbox"/> Has instruction but no written records<br><input type="checkbox"/> Materials checked by storage staff   |
| 5                              | Are written inspections /testing instructions available for finished products?<br>Is the relevant record maintained?          | <input checked="" type="checkbox"/> Have instructions and uniformly followed<br><input type="checkbox"/> Have instruction but no written records<br><input type="checkbox"/> Finished product checked by packing staff  |
| 6                              | What type of inspection is used for finished products?  | <input checked="" type="checkbox"/> Random inspection<br><input type="checkbox"/> Visual inspection<br><input checked="" type="checkbox"/> Function inspection<br><input checked="" type="checkbox"/> 100% inspection<br><input checked="" type="checkbox"/> Visual inspection<br><input checked="" type="checkbox"/> Function inspection |
| 7                              | Are non-conforming units clearly marked/ segregated to prevent accidental dispatch?   | <input checked="" type="checkbox"/> Marked and segregated<br><input type="checkbox"/> Segregated but not marked clearly<br><input type="checkbox"/> Not found on site   |
| 8                              | How are the non-conforming units handled?   | <input checked="" type="checkbox"/> Repaired and re-inspection<br><input checked="" type="checkbox"/> Picked out<br><input type="checkbox"/> Used under control<br><input type="checkbox"/> Others  |



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## Part G: Working Environment

### Section 1: Working Environment

| 1.1 Welfare Benefits |   |   |
|----------------------|---|---|
| Item                 | Content   | Observations /Comments  |
| 1                    | Does the company have effective procedures to verify the age of staff at the time of recruitment?   | <input checked="" type="checkbox"/> Has written procedure and keeps adequate age documents of workers<br><input type="checkbox"/> Has written procedure but doesn't follow records<br><input type="checkbox"/> Hasn't written procedure or follows records  |
| 2                    | Do all workers sign employment contracts with the factory?  | <input checked="" type="checkbox"/> All workers sign employment contracts<br><input type="checkbox"/> Some workers sign employment contracts<br><input type="checkbox"/> Only management staff sign employment contracts<br><input type="checkbox"/> No staff sign employment contracts   |
| 3                    | Is statutory contribution required for all employees' social insurance (e.g. health insurance, unemployment insurance, accident insurance etc.) paid for by the enterprise? | <input checked="" type="checkbox"/> All workers have social insurance.<br><input type="checkbox"/> Some workers have social insurance<br><input type="checkbox"/> Only management staffs have social insurance<br><input type="checkbox"/> No staff have social insurance   |
| 4                    | Does the company have a clear and effective policy on working hours, rest and vacations? If does, please list it.   | <input checked="" type="checkbox"/> All staffs work kept to the policy<br><input type="checkbox"/> Most of the time it keeps to the policy except midseason.<br><input type="checkbox"/> Usually needs overtime.<br><input type="checkbox"/> No relevant records for working hours<br>Describe the working hours: 8:00~11:30, 12:30~17:00 |
| 5                    | Does the company pay extra remunerations for all overtime work?   | <input checked="" type="checkbox"/> For all overtime work.<br><input type="checkbox"/> For official holidays<br><input type="checkbox"/> For official holidays except weekend.<br><input type="checkbox"/> No extra remunerations for overtime.   |
| 6                    | Does the company have dormitories for staff? If yes, please describe the condition.   | <input type="checkbox"/> Provide dormitories for all staff<br><input checked="" type="checkbox"/> Provide dormitories for workers<br><input type="checkbox"/> Provide dormitories for management staff<br><input type="checkbox"/> No dormitories were provided.<br>Describe the condition: two staffs per dormitory.                     |
| 1.2 Labor Protection |   |   |
| Item                 | Content   | Observations /Comments  |
| 1                    | Are there uniforms for all staff in company?  | <input checked="" type="checkbox"/> Yes<br><input type="checkbox"/> No<br><input type="checkbox"/> Other  |
| 2                    | Is the emergency medical supplies enough and easily used in workshop?   | <input checked="" type="checkbox"/> Yes<br><input type="checkbox"/> No<br><input type="checkbox"/> Other  |
| 3                    | Does the company arrange health and safety training for new workers?  | <input checked="" type="checkbox"/> Yes<br><input type="checkbox"/> No<br><input type="checkbox"/> Other  |
| 4                    | Do the workers have the appropriate protective equipment during operation in workshop? Such as gloves, masks.   | <input checked="" type="checkbox"/> Yes<br><input type="checkbox"/> No<br><input type="checkbox"/> Other  |
| 5                    | Is there training needed and carried out for fire protection?   | <input checked="" type="checkbox"/> Yes<br><input type="checkbox"/> No<br><input type="checkbox"/> Other  |



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## Part H: Energy Saving and Emission Reduction

### Section 1: Environmental Management

| 1.1 Environmental Management |   |   |
|------------------------------|---|---|
| Item                         | Content   | Observations /Comments  |
| 1                            | Environmental Management System                         | <input type="checkbox"/> ISO 14001 Certificate<br><input type="checkbox"/> Cleaner production management<br><input checked="" type="checkbox"/> NIL                         |
| 2                            | Environmental Impact Assessment                         | <input type="checkbox"/> The Report of Environmental impact assessment is approved by EPA .<br><input checked="" type="checkbox"/> NIL                                      |
| 3                            | Check and Acceptance of Completed Constructive Projects | <input type="checkbox"/> The Report Completed Constructive Projects Inspection and Acceptance is approved.<br><input checked="" type="checkbox"/> NIL                       |
| 4                            | "Three Simultaneity" for Environmental protection       | <input type="checkbox"/> The Report of "Three Simultaneity" for Environmental protection Inspection and Acceptance is available.<br><input checked="" type="checkbox"/> NIL |

### Section 2: Water, Gas and Noise Control

| 2.1 Water, Gas and Noise Control |  |   |
|----------------------------------|--|---|
| Item                             | Content                                      | Observations /Comments  |
| 1                                | Water-intaking                               | <input type="checkbox"/> Water-intaking license<br><input type="checkbox"/> No water-intaking license<br><input checked="" type="checkbox"/> No water taken from natural water body   |
| 2                                | Waste water                                  | <input type="checkbox"/> Water-draining license or contract<br><input type="checkbox"/> Having carried out waste water treatment before draining<br><input type="checkbox"/> Having carried out waste water monitoring and the result of which being within the standard limit<br><input checked="" type="checkbox"/> No detail activities were found |
| 3                                | Emission to air                              | <input type="checkbox"/> Air pollutant emission license<br><input type="checkbox"/> Having carried out air pollutant emission monitoring and the result of which being within the standard limit<br><input checked="" type="checkbox"/> No detail activities were found to reduce emission to air   |
| 4                                | Classification and Recycling for solid waste | <input type="checkbox"/> Having applied trash classification recycling<br><input type="checkbox"/> Having special warehouse for hazardous waste<br><input checked="" type="checkbox"/> No detail activities were found  |
| 5                                | Noise  | <input type="checkbox"/> The company carried out noise monitoring and the result of which being within the standard limit one time per year<br><input checked="" type="checkbox"/> No detail activities were found  |



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## Section 2: Photos of Company

### 2.1 Photos of Company and Product Sample

Description: Company Gate



Description: Company Building



Description: Office



Description: Workshop



Description: Workshop



Description: Warehouse



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|   |  |
|---|--|
| <p><b>Description: Sample Product</b></p>  <p>Made-in-China.com</p>    | <p><b>Description: Sample Product</b></p>  <p>Made-in-China.com</p>    |
| <p><b>Description: Sample Product</b></p>  <p>Made-in-China.com</p>   | <p><b>Description: Sample Products</b></p>  <p>Made-in-China.com</p>  |
| <p><b>Description: Sample Products</b></p>  <p>Made-in-China.com</p> | <p><b>Description: Sample Products</b></p>  <p>Made-in-China.com</p> |

-- End of the Report --